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Operation: Ranch Final Report (Certified) Audit Report Summary	CB Registration No. NCSI-PGFS-384-2 PrimusGFS ID #59585 – Cert:2 Audited by WQS Certificacoes Ltda.	PrimusGFS Version 2.1
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Organization:**Danna Farms**

Contact(s): Michael Ray
Address: 20073 Little John Rd. 95215
Location: Stockton, California, United States
Phone: 209-602-0604

Ranch:**Danna Farms**

Contact: Michael Ray
Location: 20073 Little John Rd. 95215 Stockton, California, United States

Shipper:

Danna Farms

Operation Type:**Ranch**

Kilkery/Hamberger ranch is composed by fields of cantaloupes (e.g. #34, #35, #36) and squash (e.g. #6A, #44, #45). Total acres for squash are 170.6 and 113.5 for cantaloupes. Fields are surrounded by others crops as walnuts, prunes, sunflowers and alfalfa from the same company. Season for these crops is less than one month between September/October. Water source are wells for irrigation, fertilizer and pesticides applications. Water analysis are conducted prior to use it. Policies and procedures were observed at main office and some posted outside at hand washing/toilet facilities, these are part of "Worker Hygiene".

Audit Scope:**Date Audit Started:**

09/22/2014 08:00

Date Audit Finished:

09/22/2014 12:00

Product(s) Observed During Audit:

Squash, Cantaloupe

Similar Product(s) Not Observed:

None Specified

Product(s) Applied for but Not Observed:

None Specified

Auditor:[Alvaro Soto](#) (WQS Certificacoes Ltda.)**Audit Percentage Score:**

98%

Score after acceptance of corrective actions:

99%

[Click here to see Corrective Action Activity](#)

GPS Coordinates:

Latitude:	Longitude:
39° 1' 7"	121° 34' 18"
39° 1' 16"	121° 34' 18"
39° 1' 16"	121° 33' 50"
39° 1' 5"	121° 33' 56"
39° 58' 30"	121° 33' 52"
38° 58' 30"	121° 33' 7"
38° 58' 23"	121° 33' 6"
38° 58' 19"	121° 34' 4"

Certificate Link:

[View Certificate](#)

Audit Scoring Summary	Pre-Corrective Action Review	Post-Corrective Action Review
Food Safety Management System Requirements	Score: 194 Possible Points: 199 Percent Score: 97%	Score: 194 Possible Points: 199 Percent Score: 97%
Good Agricultural Practices Requirements	Score: 656 Possible Points: 661 Percent Score: 99%	Score: 661 Possible Points: 661 Percent Score: 100%
Total:	Score: 850 Possible Points: 860 Percent Score: 98%	Score: 855 Possible Points: 860 Percent Score: 99%

Non-Conformance Summary By Count	Pre-Corrective Action Non-Conformances	Post-Corrective Action Non-Conformances (NC's without completed CA's)
Food Safety Management System Requirements	1	1
Good Agricultural Practices Requirements	1	0
Total:	2	1

Sections:

Food Safety Management System Requirements

[Management System](#)
[Control of Documents and Records](#)
[Procedures and Corrective Actions](#)
[Internal and external inspections](#)
[Rejection and release of product](#)
[Supplier Control](#)
[Traceability and Recall](#)
[Food Defense](#)

Good Agricultural Practices Requirements

[General GAP](#)
[Site Identification](#)
[Ground History](#)
[Adjacent land use](#)
[Fertilizer/Crop Nutrition](#)
[Irrigation/Water Use](#)
[Crop Protection](#)
[Field Employee Hygiene \(Applies to on-the-farm or greenhouse workers not the harvesting workers\)](#)

FSMS – Management System

Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
1.01.01	Is there a Food Safety Manual or other documented food safety management system covering the scope of business included in this audit and procedures/instructions for all food safety processes?	Total Compliance	5	5	Yes, Food Safety Program Cantaloupe, Honeydew and Squash 2014, and others binder are part of food safety manuals in the operation.	
1.01.02	Is there a documented food safety policy detailing the company's commitment to food safety?	Total Compliance	5	5	Yes, commitment with food safety is signed by Mr. Joseph Danna on 9/03/14, Vice President of Danna Farms, who is committed to ensuring the food safety of all its products and continued improvement.	
1.01.03	Is there an organizational chart of all employees who have food safety related activities?	Total Compliance	3	3	Yes, Organizational Structure List, Organizational Structure Chart and Job Description indicate, alternates, functions and responsibilities. Chart defines Mr. Joseph Danna as the vice president, Tim Danna as food safety responsible at field level, Mr. Michael Ray as food safety consultant responsible.	
1.01.04	Is there a food safety committee and are there logs of food safety meetings with topics covered and attendees?	Total Compliance	5	5	Yes, food safety committee meeting logs on file, last on 9/03/14. Document "Meeting Minute Log" include to Mr. Steve Danna (President), Mr. Joseph Danna (Vice President), Tim Danna (Food Safety Responsible at field level) and Mr. Michael Ray (Food Safety Consultant) as participants.	
1.01.05	Is there documented management verification of the entire food safety management system at least every 12 months?	Total Compliance	5	5	Yes, management verification was 9/03/14 by people above mentioned (refer to 1.01.04). Verification Review of Records, of Sheet Equipment, Approved Suppliers, Harvest Log Sheet, New Site Risk Assessment, Training Records, among others.	
1.01.06	Is there a documented analysis detailing resources required to implement and improve the food safety management system processes with documented commitment from management to	Total Compliance	5	5	Yes, Food Safety Program Outline, details the analysis of resources (training in hygiene, application equipment, harvest crews, cleaning	

provide these resources?

service of bathrooms, among others). Document indicates the commitment of the owner to provide the resources.

FSMS – Control of Documents and Records						
Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
1.02.01	Is there a written document control procedure describing how documents will be maintained, updated and replaced?	Total Compliance	3	3	Yes, document "Information Recording Procedures" refers to how documents will be maintained, updated and replaced.	
1.02.02	Are all records stored for a minimum period of 12 months or for at least the shelf life of product if greater than a year?	Total Compliance	5	5	Yes, records are kept for two years. Pesticides and fertilizer, training records were observed from last year.	
1.02.03	Are food safety related documents and records stored and handled in a secured manner?	Total Compliance	3	3	Yes, records/documents are kept at main office, closed, under supervision of the management.	
1.02.04	Are the records maintained in an organized and retrievable manner?	Total Compliance	3	3	Yes, some records/documents are in electronic manner and some of them in paper (in a set of binders), readily accessible for the inspection.	

FSMS – Procedures and Corrective Actions						
Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
1.03.01	Are there documented instructions for the creation of Standard Operating Procedures?	Non-Compliance	0	5	No, no documented instructions for the creation of Standard Operating Procedures.	
1.03.02	Are the written procedures available to relevant users and is a master copy maintained in a central file?	Total Compliance	5	5	Yes, procedures and policies are kept in the main office available for users. Copies are given to the different users, e.g. Daily Inspection SOP, Bacteriological Sampling SOP, Water Usage Check List SOP.	
1.03.03	Is there a corrective action procedure that describes the requirements for handling deficiencies affecting food safety and prevention of future occurrences?	Total Compliance	5	5	Yes, Corrective Actions Notification Form, GAPS Prerequisites and SOPs Corrective Action, including preventative or corrective measures. Topics as, name, date, area, supervision by the food safety manager, food safety consultant, among others, are considered.	
1.03.04	Is there a daily incidents report, sometimes called a Notice(s) of Unusual Occurrence and Corrective Actions Log (NUOCA) ?	Total Compliance	5	5	Yes, Corrective Action Notification Form is used. Refer to 1.03.03.	

FSMS – Internal and external inspections						
Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
1.04.01	Is there documented program for internal audits to be performed at the operations, covering all processes impacting food safety and the related documents and records?	Total Compliance	3	3	Yes, self inspection are based on pre-season inspection, annually, self audit using a check list developed by them. These are conducted by Mr. Michael Ray and revised by Mr. Joseph Danna. Records on file.	
1.04.02	Are there written procedures for handling regulatory inspections?	Total Compliance	3	3	Yes, "Regulatory Inspection" describes the procedure. Considers responsible, alternates, follow company policies, inspector identification, samples policy, among others.	
1.04.03	Are there records of regulatory inspections and/or contracted inspections, company responses and corrective actions, if any?	Total Compliance	5	5	Yes, PrimusGFS audits were conducted on last season (9/05/13) for ranch and harvest crew. All the corrective actions on file, e. g. ID 38234, score 97.23%, after corrective actions 99.23%. For crew ID 39089, 96.97%, after corrective actions 100%.	
1.04.04	Are there documented calibration procedures for measuring and monitoring devices used in the operations that are related to the safety of the product?	Total Compliance	10	10	Yes, procedures are conducted by grower for sprayer. Calibration records were on file, e.g. Air Blast Sprayer Calibration, Grower Equipment Calibration (date, equipment, responsible, method, among others).	

FSMS – Rejection and release of product

Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
1.05.01	Is there a written procedure for handling on hold or rejected products?	Total Compliance	5	5	Yes, documents "Non-Conforming Product", "Non-Conforming Material" and "Non-Conforming Equipment" are used to conduct the procedure.	
1.05.02	Are there records of the handling of on hold or rejected products kept on file?	N/A	0	0	N/A. Score is not affected. No product has been placed on hold or rejected according with auditee at field level.	
1.05.03	Is there a documented product release procedure available?	Total Compliance	5	5	Yes, refer to 1.05.01, on file. Those documents consider release procedure.	
1.05.04	Are there records of product releases kept on file?	N/A	0	0	N/A. Score is not affected. No product released according with auditee at field level.	
1.05.05	Is there a documented system for dealing with customer and buyer food safety complaints/feedback along with records and company responses, including corrective actions?	Total Compliance	10	10	Yes, "Complaint Standard Operating Procedure" and "Product Complaint Investigation Form" are used by the auditee to conduct a properly complaint.	

FSMS – Supplier Control

Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
1.06.01	Are there current written food safety related specifications for all raw products, ingredients, materials and services purchased?	Total Compliance	5	5	Yes, written specifications are part "GAP Prerequisites and SOPs". Basically specifications consider letter of guarantees, that suppliers comply with food safety regulations, certificates of laboratory testing, liability insurance, contract, toilets services records, among others.	
1.06.02	Is there a written procedure detailing how suppliers are evaluated, approved and monitored?	Total Compliance	5	5	Yes, auditee declares that method used by the company for selecting suppliers is that they meet specifications, reviewed once a year by management. "Approved Suppliers and Services", procedure on file.	
1.06.03	Is there a list of approved suppliers?	Total Compliance	5	5	Yes, "Approved Suppliers List" available.	
1.06.04	Does the organization have documented evidence to ensure that all products, ingredients or materials and services suppliers comply with the approval requirements and that they are being monitored as defined in the procedure?	Total Compliance	15	15	Yes, for Grower AG Service (pesticides and fertilizers supplier), seed companies (Sakata Seed, TS &L, Hollar Seeds) and FGL Labs, International Paper, among others. SOPs, GAP policies, contracts, letters of guarantee, accreditation, available.	
1.06.05	If the organization is outsourcing any processes that may affect food safety, are there control procedures over such processes?	Total Compliance	15	15	Yes, Bens Toilet Rentals (toilet service) follow their "Procedure for Portable Toilet Contamination", services records, copy of people trained, license, among others.	
1.06.06	If tests and/or analysis within scope to food safety are performed by external laboratories, are they licensed/accredited (e.g. ISO 17025 or equivalent, National Regulations, State Department, etc.)?	Total Compliance	5	5	Yes, FGL under ISO/IEC 17025:2005, scope for "Biological Testing", expires on March 31, 21016.	

FSMS – Traceability and Recall

Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
1.07.01	Is there is a document that indicates how the company product tracking system works, thereby enabling trace back and trace forward to occur in the event of a potential recall issue?	Total Compliance	10	10	Yes, document "Product Identification" details the tracking system. Each bins is labeled with a "bin ticket" that indicates variety (Atlas), field (45) and date (e.g. 9/19/14). Also, each load is identified by "Weighmaster Ticket" which details information from the grower (name, address, commodity, total bins, buyer, field name, truck, among others).	
1.07.02	Does the organization have a documented recall program including procedures, recall team roles and contact details, external contact listings and explanation of different recall classes?	Total Compliance	15	15	Yes, recall program is composed by Product Trace Back and Recalls, Documentation, Flow Chart fro Product Recall, Product Recall Investigation, Product Recall SOP Form and Classification.	
1.07.03	Is testing of recall procedures (including trace back) performed and documented at least every six months? Can the company identify where affected product was sent?	Total Compliance	10	10	Yes, Danna Farms Mock Recall 2014, considers scenario (mice feces), responsible (Mr. Joe Danna), customer (Cal-Fresh), date (9/15/14), time (less than 2 hours), lot affected (from Warehouse #1), quantity (106 bins;100% was located), category (I), among others.	

FSMS – Food Defense

Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
1.08.01	Does the company have a documented food defense policy based on the risks associated with the operation?	Total Compliance	5	5	Yes, document "Food Defense Protocol" refers to food defense policy. This considers employees, visitors, ranch, products, among others.	
1.08.02	Is there a current list of emergency contact phone numbers for management, law enforcement and appropriate regulatory agencies?	Total Compliance	3	3	Yes, point 8.5 of Directory of Danna Farms Services Provided, subtitle "Contact Details".	
1.08.03	Are visitors to the company operations required to adhere to food defense policies?	Total Compliance	3	3	Yes, Visitor and Worker Hygiene Rules in place. Auditor was required to read visitors policies and sign a log stating that will comply with them.	

GAP – General GAP

Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
2.01.01	Is there a designated person responsible for the food safety program in the field?	Yes	10	10	Yes, Tim Danna is the responsible of food safety program. Supported by Michael Ray (food safety consultant).	
2.01.02	Is there documented evidence of the internal audits performed to the audited operations, detailing findings and corrective actions?	Yes	10	10	Yes, during the growing season (one month approximated of operation for cantaloupe and squash), "Daily Inspections" are conducted by auditee.	
2.01.03	Are the necessary food security controls implemented in the operation?	Yes	5	5	Yes, Crop Security measures as visitor log in book, for visitors reporting to the office, no trespassing signs, no children allowed into the fields, are some points considered.	

GAP – Site Identification

Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
2.02.01	Is the growing area(s) adequately identified or coded to enable trace back and trace forward in the event of a recall?	Yes	15	15	Yes, a field number is used for each crop, e.g. Field #45 for Squash (60.6 acres). These are identified at the ranch map.	

GAP – Ground History

Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
2.03.01	Were farming area(s) used for growing food crops for human consumption last season?	Yes	0	0	Yes, last inspections (PrimusGFS) detail that the previous season, land was used for agricultural purposes.	
2.03.02	Has the growing area(s) been used for any non-agricultural functions? If No, go to 2.03.03	No	7	7	No, regarding with auditee, only for agricultural functions.	
2.03.02a	If the land had been used previously for non-agricultural functions have soil tests been conducted showing soil was negative or within an appropriate regulatory agency's approved limits for contaminants?	N/A	0	0		
2.03.03	Has the growing area(s) been used for animal husbandry or grazing land for animals? If No, go to 2.03.04	No	7	7	No, no evidence of animal husbandry noted.	
2.03.03a	If the land was used previously for animal husbandry or grazing land for livestock, has a risk evaluation been performed?	N/A	0	0		
2.03.04	Is there evidence of animal presence and/or animal activity in the audited area? If answer is NO, go to Q 2.03.05.	No	15	15	No, no significant evidence of animals.	
2.03.04a	Is the evidence of animal presence and/or animal activity found, in the form of fecal contamination? If answer is NO, go to Q 2.03.05.	N/A	0	0		
2.03.04b	Is the fecal matter found in the audited area, a systematic event (not sporadic)? A 'YES' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	N/A	0	0		
2.03.05	Has flooding from uncontrolled causes occurred on the growing area(s) since the previous growing season? If No, go to 2.03.06	No	0	0	No, no evidence of flooding.	
2.03.05a	If the growing area(s) and product was affected from the flood waters, is there documented evidence that corrective measures were taken to affected land and product?	N/A	0	0		
2.03.05b	Have soil tests been conducted on the flooded area(s) showing soil was negative or within an appropriate regulatory agency's approved limits for contaminants?	N/A	0	0		
2.03.06	Is the growing operation under organic principals? If No, go to 2.3.07	No	0	0	No, crops under the conventional system.	
2.03.06a	Is current certification by an accredited organic certification organization on file and available for review?	N/A	0	0		
2.03.07	Has a documented risk assessment been undertaken for the growing area with appropriate corrective actions to minimize identified hazards where necessary?	Yes	10	10	Yes, "New Site History Risk Assessment" was conducted for the ranch, on April 18 of 2014. Commodities observed were cantaloupes and	

GAP – Adjacent land use						
Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
2.04.01	Is the adjacent land to the growing area a possible source of contamination from intensive livestock production (e.g. feed lots, dairy operations, poultry houses, meat rendering operation)? If No, go to 2.04.02	No	10	10	No, ranch is surrounded by other crops (e.g. Walnuts, Prunes, Sunflowers, Alfalfa).	
2.04.01a	Have appropriate measures been taken to mitigate this possible contamination source onto the growing area (e.g. buffer areas, physical barriers, foundation, fences, ditches, etc.)?	N/A	0	0		
2.04.02	Are, or is there evidence of domestic animals, wild animals, grazing lands (includes homes with hobby farms, and non commercial livestock) in proximity to growing operation? If No, go to 2.04.03.	No	10	10	No, refer to 2.04.01.	
2.04.02a	Have physical measures been put in place to restrain domestic animals, grazing lands, (includes homes with hobby farms, and non commercial livestock) and their waste from entering the growing area (e.g. vegetative strips, wind breaks, physical barriers, berms, fences, diversion ditches.)?	N/A	0	0		
2.04.02b	Is there a written policy supported by visual evidence that domestic, livestock, or wild animals are not allowed in the growing area? Note: This includes any packaging or equipment storage areas.	N/A	0	0		
2.04.02c	Are measures in place to reduce or limit the animal intrusion (i.e., monitoring field perimeter for signs of intrusion)?	N/A	0	0		
2.04.03	Are untreated animal manure piles, compost, biosolids, or nonsynthetic amendment stored and/or applied on adjacent land? If No, go to 2.04.04	No	10	10	No, no evidence of such products in adjacent land.	
2.04.03a	Have physical measures been taken to secure untreated animal manure piles, compost, biosolids, or nonsynthetic amendment stored and/or applied on adjacent land?	N/A	0	0		
2.04.03b	If biosolids are stored and/or applied on adjacent land, has the adjacent landowner supplied paperwork confirming the biosolids meet prevailing guidelines, governmental, or local standards?	N/A	0	0		
2.04.04	Is the growing area situated in a higher risk location where contamination could occur from nearby operations or functions (e.g. leach fields, runoff or potential flooding from sewers, toilet systems, industrial facilities, labor camps)? If No, go to 2.04.05.	No	10	10	No, the area is located in a place that no presents high risks of contamination, refer to 2.04.01.	
2.04.04a	Have appropriate measures been taken to mitigate risks related to nearby operations?	N/A	0	0		
2.04.05	Is there evidence of human fecal matter in the adjacent land to the audited area? If NO, go to 2.05.01 (Greenhouse Audit) or 2.07.01 (Ranch Audit)	No	15	15	No, not observed.	
2.04.05a	Does the human fecal matter found in the adjacent area, represents a high risk to the crop for potential of contamination due to conditions as: lack of access controls (barriers), closeness to the growing area and equipment, crop type and maturity, land condition, and others?	N/A	0	0		

GAP – Fertilizer/Crop Nutrition						
Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
2.07.01	Is untreated human sewage sludge used in the growing cycle? A 'YES' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	No	20	20	No, no evidence of human sewage uses noted.	
2.07.02	Is compost produced from animal derived materials used by the grower? If No, go to 2.07.03	No	0	0	No, compost is not used in this operation.	
2.07.02a	Are compost applications incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?	N/A	0	0		
2.07.02b	Are there compost use records available for each growing area, including application records which shows that the interval between application and harvest was not less than 45 days (unless validation studies prove a shorter interval is acceptable)?	N/A	0	0		
2.07.02c	Are there Certificate(s) of Analysis (CoA) from the compost supplier(s) that covers pathogen testing (plus any other legally/best practice required testing) and does the grower have relevant letters of guarantee regarding SOP's and logs?	N/A	0	0		
2.07.02d	Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the compost supplier(s) that covers heavy metal testing?	N/A	0	0		
2.07.03	Are biosolids used? If No, go to 2.07.04. NOTE: Special attention to commodity specific guidelines rules (e.g., Californian Leafy Greens) which ban the use of biosolids, see 2.07.03d	No	0	0	No, not observed.	
2.07.03a	Are biosolids incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?	N/A	0	0		
2.07.03b		N/A	0	0		

	Are the grower's biosolids use records available for each growing area, especially application records?				
2.07.03c	Is there a Certificate(s) of Analysis (COA) from the biosolid supplier(s) certifying compliance with prevailing national/ local standards and guidelines (microbiological analysis)? A 'NO' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	N/A	0	0	
2.07.03d	Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the biosolid supplier(s) certifying compliance with prevailing national/ local standards and guidelines (heavy metal test analysis)?	N/A	0	0	
2.07.03e	Are biosolids being applied to crops where the country of production regulations/guidelines ban the use such materials e.g. Leafy Green Commodity Specific Guidelines in California? A 'YES' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	N/A	0	0	
2.07.04	Is untreated animal manure used? If No, go to 2.07.05. NOTE: Special attention to commodity specific guidelines rules (e.g., Californian Leafy Green Commodity Specific Guidelines) which ban the use of untreated animal manures. See 2.07.04d	No	15	15	No, not observed.
2.07.04a	Is untreated animal manure incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?	N/A	0	0	
2.07.04b	Are there untreated animal manure records available for each growing area including application records which shows that the interval between application and harvest was not less than 120 days (unless more stringent laws or guidelines exist)?	N/A	0	0	
2.07.04c	Are there Certificate(s) of Analysis (COA), specification or some other document available for review provided by the untreated animal manure supplier stating the components of the material?	N/A	0	0	
2.07.04d	Are untreated animal manures being used where the country regulations/guidelines ban the use such materials (e.g., Californian Leafy Green Commodity Specific Guidelines)? A 'YES' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	N/A	0	0	
2.07.05	Are other nonsynthetic crop treatments used (e.g. compost teas, fish emulsions, fish meal, blood meal,"bio fertilizers")? If No, go to 2.07.06	No	0	0	No, not observed.
2.07.05a	Are nonsynthetic treatments that contain animal products or animal manures applied to the edible portions crops?	N/A	0	0	
2.07.05b	Are nonsynthetic crop treatment records available for each growing area including application records demonstrating the interval between application and harvest was not less than 45 days (unless validation studies prove a shorter interval is acceptable)?	N/A	0	0	
2.07.05c	Are there Certificate(s) of Analysis available from the nonsynthetic crop treatment suppliers that covers pathogen testing (plus any other legally/best practice required testing)?	N/A	0	0	
2.07.05d	Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the nonsynthetic crop treatment suppliers that covers heavy metal testing?	N/A	0	0	
2.07.06	Are any soil or substrate amendments (except inorganic nutrients/fertilizers) used that do not contain animal products and/or animal manures? If No, go to 2.07.07	No	0	0	No, not observed.
2.07.06a	Are the grower's soil or substrate amendment (except inorganic nutrients/fertilizers that do not contain animal products and/or animal manures) records available for review including application records?	N/A	0	0	
2.07.06b	Are there Certificate(s) of Analysis (COA) and/or letters of guarantee stating that the materials used are free from animal products and/or animal manures?	N/A	0	0	
2.07.07	Are inorganic fertilizers used? If No, go to 2.07.08	No	0	0	No, auditee declares inorganic fertilizers were not applied this season to the crops.
2.07.07a	Are the grower's inorganic fertilizer records available for review including application records?	N/A	0	0	
2.07.07b	Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the inorganic fertilizer supplier(s) that specifies the all the ingredients including inert materials?	N/A	0	0	
2.07.08	If fertilizers and/or fertilizer containers are stored on the property, are they stored in a manner to prevent contamination to the growing area(s), product or any of water sources?	N/A	0	0	N/A. Score is not affected. Fertilizers are not stored in this ranch.

Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
2.08.01	Does the growing operation practice dryland farming? If No, go to 2.08.02.	No	0	0	No, water sources of the operation are wells.	
2.08.01a	If the growing operation practices dryland farming, are there water systems used in the growing operation to supply for crop needs such as crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 2.08.02	N/A	0	0		
2.08.01b	Are microbiological tests, including generic E.coli conducted on the water? If No, go to 2.08.01d	N/A	0	0		
2.08.01c	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0		
2.08.01d	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	N/A	0	0		
2.08.01e	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0		
2.08.01f	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0		
2.08.02	Is the water used for the growing operation sourced from Municipal or District water pipeline systems? If No, go to 2.08.03	No	0	0	No, water sources of the operation are wells.	
2.08.02a	Are microbiological tests, including generic E.coli conducted on the water? If No, go to 2.08.02c.	N/A	0	0		
2.08.02b	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0		
2.08.02c	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	N/A	0	0		
2.08.02d	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0		
2.08.02e	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0		
2.08.02f	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0		
2.08.02g	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0		
2.08.02h	Are the crops irrigated by flood irrigation or a furrow system?	N/A	0	0		
2.08.02i	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0		
2.08.03	Is the water used in the growing operation sourced from wells? If No, go to 2.08.04	Yes	0	0	Yes, wells are used for fertilizer and crop protection applications.	
2.08.03a	Are all well heads at adequate distance from untreated manure?	N/A	0	0	N/A. Score is not affected. Manure is not stored at this operation.	
2.08.03b	Is the well designed to prevent contamination?	Yes	10	10	Yes, wells are sealed.	
2.08.03c	Is it evident that the well(s) is free from contamination issues and are measures taken to minimize contamination of wells?	Yes	10	10	Yes, no issues seen.	
2.08.03d	Are records kept for periodic inspections and treatment of wells (if performed) available for review?	Yes	7	7	Yes, Water Usage Check Sheet is used by auditee to register monthly visual inspections to wells, last on 9/04/14.	
2.08.03e	Are microbiological tests, including generic E.coli conducted on the water? If No, go to 2.08.03g	Yes	20	20	Yes, water test results on 9/10/14, well #40 E. Coli (< 1) and TC (13.4); well #43 E. Coli (< 1) and TC (261.3). Method SM9223B, units MPN/100 ml.	
2.08.03f	Are the microbiological tests current and conducted at the required and/or expected frequencies?	Yes	15	15	Yes, test are conducted annually, prior to use, according by the season for cantaloupes and squash.	
2.08.03g	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	Yes	10	10	Yes, Bacteriological Sampling, subtopic "procedure from a well", available.	
2.08.03h	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	Yes	10	10	Yes, procedure for unsuitable results is part of "Agricultural Water Document". Retesting, treat the well, are points considered.	
2.08.03i	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	N/A. Score is not affected. No unsuitable results noted, refer to 2.08.03e.	
2.08.03j	Are the crops irrigated by a micro irrigation or drip system?	Yes	0	0	Yes, drip tape is used.	
2.08.03k	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	No	0	0	No.	
2.08.03l	Are the crops irrigated by flood irrigation or a furrow system?	No	0	0	No.	
2.08.03m	Are the crops sub irrigated (also known as seepage irrigation)?	No	0	0	No.	
2.08.04	Is the water used in the growing operation sourced from ponds, reservoirs, watersheds or other surface water source? If No, go to 2.08.05	No	0	0	No, water sources of the operation are wells.	
2.08.04a	Is surface water in adequate distance from untreated manure?	N/A	0	0		
2.08.04b	Do animals (domestic, livestock, or wild) have access to the water source?	N/A	0	0		
2.08.04c	Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the water source?	N/A	0	0		
2.08.04d	Are records kept for the periodic visual inspections and disinfection	N/A	0	0		

	treatments (if used) available for review?				
2.08.04e	Are microbiological tests, including generic E.coli conducted on the water? If No, go to 2.08.04g	N/A	0	0	
2.08.04f	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0	
2.08.04g	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	N/A	0	0	
2.08.04h	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0	
2.08.04i	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	
2.08.04j	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0	
2.08.04k	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0	
2.08.04l	Are the crops irrigated by flood irrigation or a furrow system?	N/A	0	0	
2.08.04m	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0	
2.08.05	Is the water used in the growing operation sourced from canals, rivers, ditches, or other open flowing water systems? If No, go to 2.08.06	No	0	0	No, water sources of the operation are wells.
2.08.05a	Is surface water in adequate distance from untreated manure?	N/A	0	0	
2.08.05b	Is the water source under the direction of a water authority or district?	N/A	0	0	
2.08.05c	Do animals (domestic, livestock, or wild) have access to the water source?	N/A	0	0	
2.08.05d	Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the water source?	N/A	0	0	
2.08.05e	Are records kept for periodic visual inspection and disinfection (if occurring) of the water source and available for review?	N/A	0	0	
2.08.05f	Are microbiological tests, including generic E.coli conducted on the water? If No, go to 2.08.05h	N/A	0	0	
2.08.05g	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0	
2.08.05h	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	N/A	0	0	
2.08.05i	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0	
2.08.05j	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	
2.08.05k	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0	
2.08.05l	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0	
2.08.05m	Are the crops irrigated by flood irrigation or furrow system?	N/A	0	0	
2.08.05n	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0	
2.08.06	Is reclaimed water used in the growing operation? NOTE: This refers to wastewater that has gone through a treatment process. If No, go to 2.08.07.	No	0	0	No, water sources of the operation are wells.
2.08.06a	Is the reclamation process under the direction of a water reclamation management or authority?	N/A	0	0	
2.08.06b	Are microbial control measures for reclaimed water utilized?	N/A	0	0	
2.08.06c	Are microbiological tests, including generic E.coli conducted on the water? If No, go to 2.08.06e	N/A	0	0	
2.08.06d	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0	
2.08.06e	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	N/A	0	0	
2.08.06f	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0	
2.08.06g	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	
2.08.06h	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0	
2.08.06i	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0	
2.08.06j	Are the crops irrigated by flood irrigation or a furrow system?	N/A	0	0	
2.08.06k	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0	
2.08.07	Are tail water (run off water) systems used in the growing operation? If No, go to 2.08.08.	No	0	0	No, water sources of the operation are wells.
2.08.07a	Is surface water in adequate distance from untreated manure?	N/A	0	0	
2.08.07b	Do animals (domestic, livestock, or wild) have access to the tail water systems?	N/A	0	0	
2.08.07c	Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the tail water	N/A	0	0	

	system?				
2.08.07d	Are records kept for periodic visual inspection and disinfection (if occurring) of the water source and available for review?	N/A	0	0	
2.08.07e	Are microbiological tests, including generic E.coli conducted on the water? If No, go to 2.08.07g	N/A	0	0	
2.08.07f	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0	
2.08.07g	Do written procedures (SOPs) exist covering proper sampling protocols which include where samples should be taken and how samples should be identified?	N/A	0	0	
2.08.07h	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0	
2.08.07i	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	
2.08.07j	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0	
2.08.07k	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0	
2.08.07l	Are the crops irrigated by flood irrigation or furrow system?	N/A	0	0	
2.08.07m	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0	
2.08.08	Are check valves, anti-siphon devices, or other back flow prevention systems in use when and where necessary?	Yes	10	10	Yes, backflow device in water system was observed.
2.08.09	Is irrigation equipment not in use free from pest contamination and stored clean, off the ground?	N/A	0	0	N/A. Score is not affected. Equipment (drip tape) was removed before to start the harvest. Storage was not observed on site.

GAP – Crop Protection

Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
2.09.01	Is there a documented procedure for the mixing/loading of crop protection materials?	Yes	5	5	Yes, procedure is detailed in the document "Mixing and Loading; Rinsing and Cleaning of Crop Protection Material & Equipment", available.	
2.09.01a	If observed, is the mixing/loading of crop protection materials performed according to the procedure and label instructions?	N/A	0	0	N/A. Score is not affected. Practice not observed at the time of inspection.	
2.09.02	Is there a documented procedure for the application of crop protection materials?	No	0	5	No, documented procedure for the application of crop protection materials.	
2.09.02a	If observed, is the application of crop protection materials performed according to the procedure and label instructions?	N/A	0	0	N/A. Score is not affected. Practice not observed at the time of inspection.	
2.09.03	Is there a documented procedure for the rinsing and cleaning of crop protection equipment?	Yes	5	5	Yes, refer to 2.09.01.	
2.09.03a	If observed, is the rinsing and cleaning of crop protection equipment performed according to the procedure and label instructions?	N/A	0	0	N/A. Score is not affected. Practice not observed at the time of inspection.	
2.09.04	Is there documentation that shows the individual(s) making decisions for crop protection applications are competent?	Yes	10	10	Yes, PCA, Gary H Walker, license #75176, valid until 12/13/2015.	
2.09.05	Is there documentation that shows employees who handle crop protection materials are trained or are under the supervision of a trained individual?	Yes	15	15	Yes, pesticide applicator, Guadalupe Beltran, was trained by Mr. Joseph Danna and his a experience by over 30 years. For team, "Safety Meeting Reports" are available, signed 1/15/14.	
2.09.06	Are there up to date records of all crop protection products applied during the growing cycle? A 'NO' TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	Yes	20	20	Yes, records are updated and available.	
2.09.07	Are there plant protection products registered and/or authorized by a government agency for use in the target crops in the country of production? If No, go to 2.09.08.	Yes	0	0	Yes, products applied are under EPA registration, approved for to use in California, e.g. Radiant SC, Sevin Brand XLR Plus.	
2.09.07a	Does the growing operation have the information available for the plant protection products registered and/or authorized for use for the target crops in the country of production? A 'NO' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	Yes	20	20	Yes, two complete binders in place, MSDS and Specimen Labels for PPP applied.	
2.09.07b	Are crop protection applications restricted by the guidelines established by the product label, manufacturer recommendation, or by prevailing national/ local standards and guidelines? A 'NO' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	Yes	20	20	Yes, doses are applied according label recommendation. Auditor randomly selected some records to confirm this practice, e. g. Radiant SC label details 6–10 fl oz/A, PPP records show 6 fl oz/A applied.	
2.09.07c	Where harvesting is restricted by pre-harvest intervals (as required on the crop protection chemical product labels, manufacturer recommendations and/or by prevailing national/ local standards) is the grower adhering to these pre-harvest interval time periods? A 'NO' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	Yes	20	20	Yes, application and harvest records shows pre harvest intervals are met, e.g. last application was on 7/26/14, "Sevin XLR Plus" and "Radiant SC", with 3 days of PHI, treated area 14.7 acres. The harvest starts on August 10th.	
2.09.08	If applicable, for those plant protection products that are not registered for use on target crops in the country of production, if the country has no or a partial legislative framework to cover plant protection products, can the grower show that they have registration information, label information, MRL tolerances, etc. for the country of destination? A 'NO' ANSWER TO THIS QUESTION RESULTS	N/A	0	0	N/A. Score is not affected. The products observed are under EPA registration.	

IN AUTOMATIC FAILURE OF THE AUDIT.						
2.09.09	Is there evidence available that the grower is taking all the necessary measures to comply with the country(ies) of destination expectations regarding crop protection products used (e.g. registration information, label information, MRL tolerances or any other guidelines applicable)? A 'NO' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	Yes	10	10	Yes, application records indicates organization is following label instructions as doses, pre harvest intervals, re-entry time, against the suitable pest.	
2.09.10	If crop protection containers are stored on the property (even temporarily), are they stored in a manner to prevent contamination and disposed of responsibly?	N/A	0	0	N/A. Score is not affected. PPP are not stored at this ranch.	
2.09.11	Have documented policies and/or procedures been developed for the monitoring of crop protection application equipment (e.g. calibration procedures, inspections, replacement)?	Yes	10	10	Yes, refer to 1.04.04. Last calibration records were observed on March and July, 2014. This is verified by owner.	
2.09.11a	Is it evident that the equipment used for crop protection applications is in good working order?	N/A	0	0	N/A. Score in not affected. Equipment was not observed at the time of inspection.	

GAP – Field Employee Hygiene (Applies to on–the–farm or greenhouse workers not the harvesting workers)

Question No.	Question Name	Given Answer	Given Score	Possible Score	Auditor Comments	Files
2.10.01	Does the growing operation have a documented and implemented policy for dealing with employees who appear to be physically ill, or become ill while working?	Yes	10	10	Yes, policy is part of document "Work Hygiene", Danna Farms V1 6.1.13.	
2.10.02	Does the growing operation have a documented and implemented policy regarding employees with open sores and wounds?	Yes	10	10	Yes, policy is part of document "Work Hygiene", Danna Farms V1 6.1.13.	
2.10.03	Does the growing operation have documented and implemented procedures describing the disposition of product that has come into contact with blood or other bodily fluids? A 'NO' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	Yes	20	20	Yes, policy is part of document "Work Hygiene", Danna Farms V1 6.1.13.	
2.10.04	Does the growing operation have documented and implemented policies prohibiting eating, drinking (including gum chewing) using tobacco in the growing area?	Yes	10	10	Yes, policy is part of document "Work Hygiene", Danna Farms V1 6.1.13.	
2.10.05	Is there a food safety hygiene training program covering new and existing employees and are there records of these training events?	Yes	15	15	Yes, "Safety Meeting Report", training records were on file. These are provided by Tim Danna and Javier Beltran.	
2.10.06	Are there operational toilet facilities provided? If NO, go to 2.10.07. A 'NO' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	Yes	20	20	Yes, permanent portable toilet is provided for the workers at ranch yard.	
2.10.06a	Are toilet facilities placed within ¼ mile or 5 minutes walking distance of all employees?	Yes	10	10	Yes, toilets were near working areas.	
2.10.06b	Are toilet facilities in a suitable location to prevent contamination to product, packaging, equipment and growing areas?	Yes	15	15	Yes, toilets are at the ranch yard away from the crop.	
2.10.06c	Is a minimum of one toilet facility provided for each group of 20 employees?	Yes	5	5	Yes, enough portable toilet were on site.	
2.10.06d	Do toilet facilities have visuals or signs, written in the appropriate languages, reminding employees to wash their hands before returning to work?	Yes	20	20	Yes, signs posted in toilet/hand washing facility.	
2.10.06e	Are the toilets maintained in a clean and sanitary condition and are there records showing toilet cleaning, servicing and stocking is occurring regularly?	Yes	10	10	Yes, cleaning records are sticked on the doors of the toilets (last on September 11, 15 of 2014).	
2.10.06f	Are the catch basins of the toilets designed and maintained to prevent contamination (e.g. free from leaks and cracks)?	Yes	5	5	Yes, no issues seen.	
2.10.06g	Is there a documented and implemented procedure for emptying the catch basin in a hygienic manner and also in a way that prevents product, packaging, equipment, water systems and growing area contamination?	Yes	5	5	Yes, Bens Toilet Rentals, company that provides cleaning services, adopts the Danna Farms procedure. "SOP for Portable Toilet Contamination".	
2.10.07	Is there evidence of human fecal contamination in the growing area(s)? A 'YES' ANSWER TO THIS QUESTION RESULTS IN AUTOMATIC FAILURE OF THE AUDIT.	No	20	20	No, no issues seen.	
2.10.08	Are there operational hand washing facilities provided? If No, go to 2.10.09	Yes	15	15	Yes, are located beside at the toilet facility.	
2.10.08a	Are the hand washing facilities placed within ¼ mile or 5 minutes walking distance of all employees?	Yes	10	10	Yes, are located near of working areas.	
2.10.08b	Are hand wash stations clearly visible (e.g. situated outside the toilet facility) and easily accessible to workers?	Yes	5	5	Yes, are located clearly visible outside of portable toilets.	
2.10.08c	Are hand wash stations properly stocked with soap, paper towels and trash can?	Yes	5	5	Yes, properly stocked.	
2.10.08d	Are the hand wash stations designed and being maintained to prevent contamination onto the growing area(s) (i.e. spent water does not go straight to the ground)?	Yes	5	5	Yes, no issues seen.	
2.10.08e	Does the growing operation have a documented and implemented policy and procedure in place requiring employees to wash their hands (e.g. prior to beginning work, after breaks, after toilet use)?	Yes	10	10	Yes, policy is part of document "Work Hygiene", Danna Farms V1 6.1.13.	
2.10.09	Is fresh potable drinking water provided for workers? If No, go to 2.10.10	Yes	10	10	Yes, auditee declares fresh potable water is provided every time the workers are on the field.	
2.10.09a	If used, are water containers maintained in a clean condition?	N/A	0	0	N/A. Score is not affected. Water container was not observed at the time of inspection.	
2.10.10	Are first-aid kits available and is the inventory maintained properly?	Yes	5	5	Yes, auditee declares first kit aid is available with the supervisor every time the workers are on	

2.10.11	Are there trash cans available on the field placed in suitable locations?	Yes	5	5	the field. Yes, trash cans with plastic bags are used. No trash around observed.
2.10.12	Are there any foreign material issues observed that are or could be potential risks to the product in the growing area(s)?	No	5	5	No, no issues seen.
2.10.13	Is there a documented and implemented policy that infant or toddler aged children are not allowed in the growing area? NOTE: This includes any packaging or equipment storage areas.	Yes	10	10	Yes, policy is part of document "Work Hygiene", Danna Farms V1 6.1.13.